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CASE DC _T NO. <u>FR919990071US1</u>
Date: <u>May 23, 2001</u>

under Rule 1.53(b)

Transmitted herewith for filing is the Patent Application/of:

ASSIGNEE NAME: International Business Machines Corporation ASSIGNEE RESIDENCE: Armonk, New York

Inventors: Jean-Louis Blanchard, Stephane Lorin, Hammou Messatfa and Christelle Pavillon

For: SYSTEM AND METHOD FOR STOCK OPTIONS MARKET MANAGEMENT

Enclosed are:

ī.

- X 6(six) Sheets of Formal Drawings.
- An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504.
- X A certified copy of a European Patent Application Serial No: 00480047.0.
- X Unsigned Declaration and Power of Attorney is attached to the application.
 - Associate Power of Attorney.
 - ____ Information Disclosure Statement with form PTO-1449 with references attached.

The filing fee has been calculated as shown below:

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| | INDEP CLAIMS | 3 - 3 = | 0 |
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 - x Any additional filing fees required under 37 CFR 1.16.
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Respectfully submitted,

y<u>Jaul H / `</u> Gail H. Zarick

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In re application of: Blanchard et al.

Serial No.: To be assigned

Filed: Herewith

Group No.:

Examiner:

Docket No.: FR919990071US1

For: System and Method for Stock Options Market Management

Assistant Commissioner for Patents Washington, DC 20231

EXPRESS MAIL CERTIFICATE

Express Mail Label Number EL761869678US Date of Deposit May 23, 2001

I hereby certify that attached paper or fee:

Patent Application Transmittal Fee Sheet under rule 1.53(b) -Original and copy Patent Application Declaration and Power of Attorney for Patent Application, unsigned 6 (six) Sheets Formal Drawings Certified Copy of European Patent Application No. 00480047.0 Return Post Card

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